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#3

UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
10/016,825	11/09/2001	Harvey A. Schwertner	AFD 489

26902
DEPARTMENT OF THE AIR FORCE
AFMC LO/JAZ
2240 B ST., RM. 100
WRIGHT-PATTERSON AFB, OH 45433-7109

CONFIRMATION NO. 9046

FORMALITIES LETTER



OC00000007527776

Date Mailed: 02/25/2002

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(l) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 130.

*A copy of this notice **MUST** be returned with the reply.*

Customer Service Center
Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

05/17/2002 SDENB081 00000027 010465 10016825

01 FC:105 130.00 CH

Please type a plus sign (+) inside this box



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Substitute PTO/SB/21

Approved for use through 10/31/2002

Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

A3

TRANSMITTAL FORM

(to be used for all correspondence after initial filing)

Application Number 10/016,825

Filing Date November 9, 2001

First Named Inventor Harvey A. Schwertner

Group Art Unit 1619

Examiner Name (not assigned)

Total Number of Pages in this Submission

7

Attorney Docket Number

AFD 489

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ENCLOSURES (check all that apply)

☒ Fee Transmittal Form

☐ Fee Attached

☐ Amendment / Response

☐ After Final

☐ Affidavits/declaration(s)

☐ Extension of Time Request

☐ Express Abandonment Request

☐ Information Disclosure Statement

☐ Certified Copy of Priority Document(s)

☒ Response to Missing Parts / Incomplete Application

☒ Response to Missing Parts under 37 CFR 1.52 or 1.53

☐ Assignment Papers (for an application)

☐ Drawing(s)

☐ Licensing-related Papers

☐ Petition

☐ Petition to Convert a Provisional Application

☐ Power of Attorney, Revocation Change of Correspondence Address

☐ Terminal Disclaimer

☐ Request for Refund

☐ CD, Number of CD(s) _____

☐ After Allowance Communication to Group

☐ Appeal Communication to Board of Appeals and Interferences

☐ Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)

☐ Proprietary Information

☐ Status Letter

☐ Other Enclosure(s) (please identify below):

Remarks

SIGNATURE OF APPLICANT, ATTORNEY OR AGENT

Firm or Individual Name

FREDRIC L. SINDER, Reg. No. 28,475

Signature

Fredric L. Sinder

Date

April 24, 2002

CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231 on this date: → April 24, 2002

Typed or printed name

FREDRIC L. SINDER

Signature

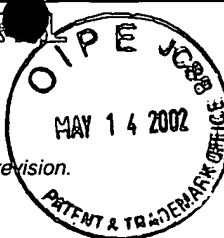
Fredric L. Sinder

Date

April 24, 2002

FEE TRANSMITTAL for FY 2002

Patent fees are subject to annual revision.



Complete if Known

Application Number 10/016,825
 Filing Date November 9, 2001
 First Named Inventor Harvey A. Schwertner
 Examiner Name (not assigned)
 Group Art Unit 1619
 Attorney Docket Number AFD 489

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TOTAL AMOUNT OF PAYMENT (\$) 130.00

METHOD OF PAYMENT

1. ☒ The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to:

Deposit Account Number

AF 01-0465

Deposit Account Name

Department of the Air Force

- ☒ Charge Any Additional Fee Required under 37 CFR 1.16 and 1.17 ☐ Applicant claims small entity status. See 37 CFR 1.27

2. ☐ Payment Enclosed:

☐ Check ☐ Credit Card ☐ Money Order ☐ Other

FEE CALCULATION

1. BASIC FILING FEE

Lge Entity Fee Code	Lge Entity Fee (\$)	Sm. Entity Fee Code	Sm. Entity Fee (\$)	Fee Description	Fee Paid
101	740	201	370	Utility Filing Fee	
106	330	206	165	Design Filing Fee	
107	510	207	255	Plant Filing Fee	
108	740	208	370	Reissue Filing Fee	
114	160	214	80	Provisional Filing Fee	

SUBTOTAL (1) (\$) 0

2. EXTRA CLAIM FEES

	Extra	Fee from below	Fee Paid
Total Claims 12 - 20** = 0 x 18 = 0			
Independent claims 3 - 3 = 0 x 84 = 0			
Multiple dependent claims			

Large Entity		Small Entity		Fee Description	Fee Paid
Fee Code	Fee (\$)	Fee code	Fee (\$)		
103	18	203	9	Claims in excess of 20	
102	84	202	42	Independent claims in excess of 3	
104	270	204	135	Multiple dependent claim	
109	84	209	42	**Reissue independent claims over original patent	
110	18	210	9	**Reissue claims in excess of 20 and over original patent	

SUBTOTAL (2) \$ 0

** or number previously paid, if greater; For Reissues, see below

FEE CALCULATION (continued)

3. ADDITIONAL FEES

Large Entity Code	Large Entity Fee (\$)	Small Entity Code	Small Entity Fee (\$)	Fee Description	Fee Paid
105	130	205	65	Surcharge - late filing fee or oath	130
127	50	227	25	Surcharge - late provisional filing fee or cover sheet	
139	130	139	130	Non-English specification	
147	2,520	147	2,520	For filing a request for <i>ex parte</i> reexamination	
112	920*	112	920*	Requesting publication of SiR prior to Examiner Action	
113	1,840*	113	1,840*	Requesting publication of SiR after Examiner action	
115	110	215	55	Extension for reply within first month	
116	400	216	200	Extension for reply within second month	
117	920	217	460	Extension for reply within third month	
118	1440	218	720	Extension for reply within fourth month	
119	320	219	160	Notice of Appeal	
120	320	220	160	Filing a brief in support of an appeal	
121	280	221	140	Request for oral hearing	
138	1,510	138	1,510	Petition to institute a public use proceeding	
140	110	240	55	Petition to revive - unavoidable	
141	1,280	241	640	Petition to revive - unintentional	
142	1,280	242	640	Utility issue fee (or reissue)	
143	440	243	220	Design issue fee	
144	620	244	310	Plant issue fee	
122	130	122	130	Petitions to the Commissioner	
123	50	123	50	Processing fee under 37 CFR 1.17(q)	
126	180	126	180	Submission of Information Disclosure Statement	
581	40	581	40	Recording each patent assignment per property (times # of properties)	
146	740	246	370	Filing a submission after final rejection (37 CFR §1.129(a))	
149	740	249	370	For each additional invention to be examined (37 CFR §1.129(b))	
179	740	279	370	Request for Continued Examination (RCE)	

Other fee (specify) _____

SUBTOTAL (3) \$ 130.00

*Reduced by Basic Filing Fee Paid

SUBMITTED BY

Complete (if applicable)

Typed or Printed Name	FREDRIC L. SINDER	Registration. No.	28,475	Telephone	(937) 255-2838
Signature				Date	Apr. 12, 2002
Typed or Printed Name		Registration. No.		Telephone	
Signature				Date	



THE UNITED STATES PATENT AND TRADEMARK OFFICE

COPY OF PAPERS
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Applicants: Harvey A. Schwertner et al
Serial No.: 10/016,825
Filed: November 9, 2001
For: COMBINED CHOLESTEROL AND BILIRUBIN
TESTS AS RISK PREDICTORS FOR CORONARY
ARTERY DISEASE

Group Art Unit: 1619
Examiner: (not assigned)

RESPONSE TO NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

Honorable Commissioner of Patents and Trademarks
Washington, D.C. 20231

Sir:

In response to the Notice to File Missing Parts of Nonprovisional Application dated February 25, 2002, attached are a Declaration showing the original signatures of the inventors and a fee transmittal form authorizing the Commissioner to charge the surcharge to Air Force Deposit Account AF 01-0465. The two named inventors are, in fact, the only joint inventors, the originally filed and unsigned declaration erroneously listed additional inventors. A duplicate of the fee transmittal is enclosed.

Respectfully submitted,

Fredric L. Sinder, Reg. No. 28,475
Attorney for Applicants

FL Sinder
937/255-2838